

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/670,962
		Filing Date	September 25, 2003
		First Named Inventor	HETHERINGTON, Richard W. et al
		Art Unit	3634
		Examiner Name	Not Yet Assigned
Total Number of Items in This Submission	10	Attorney Docket Number	49942-287485

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Express Mail Cert. No. EV 316 335 328 US; Transmittal form; Notice of Missing Parts Transmittal; Declaration; Return Post Card		
<table border="1"><tr><td>Remarks</td><td></td></tr></table>			Remarks	
Remarks				

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Goran P. Stojkovich, Kilpatrick Stockton LLP
Signature	<i>Goran P. Stojkovich</i>
Date	January <u>20</u> , 2004

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name			
Signature		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



1-21-04

*[Handwritten mark]*

**EXPRESS MAIL CERTIFICATE**

"Express Mail" Label No. : EV 316 335 328 US

Serial No. : 10/670,962

Applicant(s) : HETHERINGTON, Richard W. et al.

Filing Date : September 25, 2003

Title: : Lift Handle Products and Processes

Examiner : Not Yet Assigned

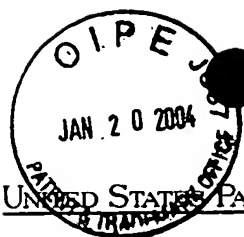
Group Art Unit : 3634

Type of Document(s) : Express Mail Certificate;  
Transmittal Form;  
Check #352010 for \$170 Missing Parts and Assignment  
Recordation Fee;  
Notice of Missing Parts Transmittal;  
Response to Notice to File Missing Parts;  
Declaration for Patent Application (4 pgs.);  
Assignment (4 pgs);  
Recordation Form Cover Sheet;  
Information Disclosure Statement;  
PTO/SB/08a;  
3 Articles; and  
Return Postcard

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and are addressed to Mail Stop Missing Parts, Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450.

*Carol McCabe* (signature)  
Carol McCabe

*Date Mailed: January 20, 2004*



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EV 316 335 328 US

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UNITED STATES PATENT AND TRADEMARK OFFICE

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United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/670,962	09/25/2003	Richard W. Hetherington	49942-287485

Goran P Stojkovich  
Kilpatrick Stockton LLP  
1001 West Fourth Street  
Winston-Salem, NC 27101

CONFIRMATION NO. 7052

FORMALITIES LETTER



\*OC000000011531035\*

Date Mailed: 12/18/2003

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
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Alexandria VA 22313-1450

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*A copy of this notice **MUST** be returned with the reply.*

Y. G

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Richard W. HETHERINGTON et al.  
Ser. No. : 10/670,962  
Filing Date : September 25, 2003  
For : Lift Handle Products and Processes  
Examiner : Not Yet Assigned  
Art Unit : 3634  
Atty. Docket No. : 49942-287485

Mail Stop Missing Parts  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Dear Sir:

Enclosed herewith for filing in the above-identified patent application are copies of the following documents:

- (1) Copy of Notice to File Missing Parts of Nonprovisional Application, mailed December 18, 2003 from the U.S. Patent and Trademark Office; and
- (2) Executed Declaration.

The Commissioner is hereby authorized to charge any additional fees required by this action, or credit any overpayment, to Deposit Account No. 16-1435. A duplicate of this sheet is attached for this purpose.

Respectfully submitted,

Date: January 20, 2004

By: Goran P. Stojkovich  
Goran P. Stojkovich  
Reg. No. 45,841

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